

BUILDING THE FUTURE

PROCUREMENT, SUPPLY CHAIN & DBE DIVISION



1234 Market Street, 11th Floor
Philadelphia, PA 19107-3780

March 9, 2016

Ariganjan (Gary) Guleria, President
A. G. Consulting Engineering, PC
131 West 33rd Street, Suite 12B
New York, NY 10001

DBE Certification
SEPTA No: 1717-0113-1
Anniversary Date – Annually on January 30

RE: Small Business Enterprise (SBE) Program Participation

Dear Entrepreneur:

The Southeastern Pennsylvania Transportation Authority's (SEPTA) Small Business Enterprise (SBE) Program, a component of its existing DBE Program, was developed in accordance with Title 49 Code of Federal Regulations (CFR), Part 26.39 – Fostering Small Business Participation. The basis for SBE verification is economic disadvantage and applies the same size limits (e.g., gross receipts and personal net worth) as DBE certification.

As an existing firm currently DBE-certified with SEPTA, you are automatically included in the SBE Program. However, your firm must maintain its DBE certification status to continue participation in the SBE Program. In the event of a change in circumstances that may affect your DBE, and consequently your SBE status, you must inform the DBE Program Office immediately, describing in detail the nature of such changes. Failure to notify SEPTA's DBE Program Office within 30 days of the occurrence of the change will be deemed a failure to cooperate. We reserve the right to review your firm at any time to ensure compliance with the program.

We are pleased to have you participate in SEPTA's Small Business Enterprise Program and wish you continued success. If you have any questions, please contact the DBE Program Office at 215-580-7278, or via email (DBEProgram@septa.org).

Sincerely,

Mary E. Connell
Director
DBE Program Office



PENNSYLVANIA UNIFIED CERTIFICATION PROGRAM FOR DISADVANTAGED BUSINESS ENTERPRISES

NOTICE OF CHANGE AFFIDAVIT

State/Commonwealth of _____)

County of _____) ss.

BEFORE ME, the undersigned authority, in and for the said State/Commonwealth and said County personally appeared _____ who, after being sworn according to law, stated that he or she was authorized to represent _____ and to execute this affidavit on behalf of the said firm and stated, under penalty of perjury that the following statements are true, correct, accurate and complete.

Changes have occurred in circumstances affecting my ability to meet the size, disadvantaged status, ownership, and/or control requirements of 49 CFR Part 23 and/or Part 26 or in material information provided in my last application.

Within the past 30 days changes have occurred to the:

- 1. Business size and overall gross receipts that cause the firm to exceed Small Business Administration and Part 23 and/or Part 26 criteria?
2. Personal net worth of an individual owner who's status was relied on for certification exceeds \$1.32 million?
3. Firm's ownership (including changes in interests of existing owners and the addition or removal of owners)?
4. Officers and/or Directors of the firm?
5. Structure of business (i.e. proprietorship, llc, partnership, corporation)?
6. Out of state firms: Home state certification status?
7. Other: _____

Attach supporting documents regarding each change(s).

Further, the Affiant does covenant and agrees to provide directly to the Pennsylvania Unified Certification Program complete and accurate information regarding actual work performed on any federally-funded project, the payment therefore, any proposed changes, if any, of the foregoing arrangements, and to permit the Pennsylvania Unified Certification Program to examine the books, records and files of the business.

The Affiant acknowledges that any distortion, false statements, or non-disclosure of information will be deemed to be a material misrepresentation and is subject to prosecution under both Federal and State Law.

(SEAL)

SWORN AND SUBSCRIBED BEFORE ME

THIS _____ DAY OF _____, 20____

Form box containing fields for: (SIGNATURE OF AFFIANT), (DATE), (PRINTED NAME), (TITLE), (COMPANY NAME), (SIGNATURE OF NOTARY PUBLIC), and My Commission Expires: _____